

ANNEXURE I

Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **IIFL Wealth Management Limited**
 2. Quarter ending - **30-Sep-2019**

i. Composition Of Board Of Director

Title (Mr./Ms)	Name of the Director	D I N	P A N N	Category (Chairperson /Executive/Non-Executive/ Independent/ Nominee)	S u b C a t e g o r y	Initial Date of Appointment	Date of Appointment	Date of cessation	Tenure	Date of Birth	Whether special resolution passed ?	Date of passing special resolution	No. of Directorship in listed entities including this listed entity	No of Independent Directorship in listed entities including this listed entity	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity	Membership in Committees of the Company	Remarks
Mr.	Nilesh Vikamsey	0031213	A A B V 3	ID,C & NED		21-May-2013	25-Aug-2019		60	16-Aug-1964			7	6	8	2	AC,RM C,NRC	

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Mr.	Venkata Rajamani	00011919	A D H P R 6 6 3 3 G	NED		17- Jan- 2008			27- Jul- 1967		5	0	5	1	SC, RMC
Mr.	Nirmal Bhanwarlal Jain	00010535	A B R PJ 9 2 3 5 G	NED		17- Jan- 2008			11- De- c- 1966		4	0	1	0	NRC
Mrs.	Geeta Mathur	02139552	A A K P M 7 3 8 0 D	ID		03- Mar- 2015	27- Jul- 2015	60	21- No- v- 1966		7	7	6	4	AC, SC, RMC, NRC

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Mr.	Karan Bhagat	03247753	AEBPB775349B	ED	27-Sep-2010	27-Jul-2015			25-Apr - 1977		1	0	0	0	RMC	
Mr.	Yatin Shah	03231090	AMPS10425K	ED	27-Sep-2010	27-Jul-2015			27-Jan - 1976		1	0	1	0	SC	
Mr.	Shantanu Rastogi	06732021	AGDRP082472J	NED,ND	25-Feb-2016				26-Mar-1979		1	0	2	0	AC,RMC	

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Mr.	Sande ep Naik	0 2 0 5 7 9 8 9	A F E P N 6 7 3 1J	NED,N D		25- Feb- 2016			29- Oct - 197 2		1	0	0	0	NRC	
Mr.	Subbar aman Naray an	0 0 0 9 4 0 8 1	A B U P N 5 0 9 5 R	ID		25- Jun- 2019	25- Jun- 2019	60	20- Jun - 194 3		4	4	3	2	NA	

Company Remarks	
Whether Permanent chairperson appointed	Yes
Whether Chairperson is related to MD or CEO	No

ii. Composition of Committees

a. Audit Committee

Sr.	Name of the Director	Category	Chairperson/Membership	Appointment	Cessation Date
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No.				Date	
1	Nilesh Vikamsey	ID,C & NED	Member	18-Jun-2013	
2	Geeta Mathur	ID	Chairperson	03-Mar-2015	
3	Shantanu Rastogi	NED,ND	Member	25-Feb-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

b. Stakeholders Relationship Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Geeta Mathur	ID	Member	14-May-2019	
2	Venkataraman Rajamani	NED	Chairperson	14-May-2019	
3	Yatin Shah	ED	Member	14-May-2019	

Company Remarks	
Whether Permanent chairperson appointed	Yes

c. Risk Management Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Venkataraman Rajamani	NED	Member	14-May-2019	
2	Karan Bhagat	ED	Member	14-May-2019	
3	Nilesh Vikamsey	ID,C & NED	Member	14-May-2019	
4	Shantanu Rastogi	NED,ND	Chairperson	14-May-2019	
5	Geeta Mathur	ID	Member	14-May-2019	

Company Remarks	
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Whether Permanent chairperson appointed	Yes
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d. Nomination and Remuneration Committee

Sr. No.	Name of the Director	Category	Chairperson/Membership	Appointment Date	Cessation Date
1	Nilesh Vikamsey	ID,C & NED	Member	06-May-2015	
2	Nirmal Bhanwarlal Jain	NED	Member	04-Feb-2011	
3	Geeta Mathur	ID	Chairperson	03-Mar-2015	
4	Sandeep Naik	NED,ND	Member	25-Feb-2016	

Company Remarks	
Whether Permanent chairperson appointed	Yes

iii. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Number of Directors present	Number of Independent Directors present
10-Apr-2019	21-Aug-2019	Yes	9	3
30-Apr-2019		Yes		
13-May-2019		Yes		
06-Jun-2019		Yes		
25-Jun-2019		Yes		

Company Remarks	
Maximum gap between any two consecutive (in number of days)	56

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iv. Meeting of Committees

Name of the Committee	Date(s) of meeting during of the committee in the previous quarter	Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (Yes/No)	Number of Directors present	Number of independent directors present
Audit Committee	13-May-2019		Yes		
Audit Committee	25-Jun-2019		Yes		
Audit Committee		21-Aug-2019	Yes	3	2

Company Remarks	
Maximum gap between any two consecutive (in number of days) [Only for Audit Committee]	56

v. Related Party Transactions

Subject	Compliance status (Yes/No/NA)	Remark
Whether prior approval of audit committee obtained	Yes	
Whether shareholder approval obtained for material RPT	Not Applicable	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Disclosure of notes on related party transactions and Disclosure of notes of material related party transactions	
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VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & remuneration committee - **Yes**
 - c. Stakeholders relationship committee - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5.
 - a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**
 - b. Any comments/observations/advice of Board of Directors may be mentioned here:

%affirmComments%

Name : **Ashutosh Naik**

Designation : **Company Secretary & Compliance Officer**

ANNEXURE III

Affirmations

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Broad Heading	Regulation Number	Compliance Status	Company Remark
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	

Note:

Name : Ashutosh Naik
Designation : Company Secretary & Compliance Officer



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